

REBALANCE AND ANNOUNCEMENT DATES FOR NASDAQ INDEXES TIED TO EXCHANGE-TRADED PRODUCTS

INDEX FAMILY	NASDAQ INDEX TICKER	INDEX NAME	UPCOMING REBALANCE TYPE	UPCOMING TARGET REBALANCE ANNOUNCEMENT DATE (announced after the close)	UPCOMING REBALANCE EFFECTIVE DATE (effective after the close)	EVALUATION NOTES	REBALANCE NOTES	ALTERNATIVE WEIGHTING REBALANCE NOTES	LINK TO METHODOLOGY
AlphaDEX	NQDXBR	Nasdaq AlphaDEX Brazil Index	Semi-Annual Evaluation	July 7th	July 13th	The Index Securities are evaluated semi-annually in June and December. Security additions and deletions are made effective at the market open on the 9th business day of the following month.	Semi-Annual basis after the close of trading on the 8th business day of July and January.	-	https://indexes.Nasdaqomx.com/docs/Methodology_NQDXBR.pdf
	NQDXCA	Nasdaq AlphaDEX Canada Index	Semi-Annual Evaluation	July 7th	July 13th	The Index Securities are evaluated semi-annually in June and December. Security additions and deletions are made effective at the market open on the 9th business day of the following month.	Semi-Annual basis after the close of trading on the 8th business day of July and January.	-	https://indexes.Nasdaqomx.com/docs/Methodology_NQDXCA.pdf
	NQDXCH	Nasdaq AlphaDEX Switzerland Index	Semi-Annual Evaluation	July 7th	July 13th	The Index Securities are evaluated semi-annually in June and December. Security additions and deletions are made effective at the market open on the 9th business day of the following month.	Semi-Annual basis after the close of trading on the 8th business day of July and January.	-	https://indexes.Nasdaqomx.com/docs/Methodology_NQDXCH.pdf
	NQDXCN	Nasdaq AlphaDEX China Index	Semi-Annual Evaluation	July 7th	July 13th	The Index Securities are evaluated semi-annually in June and December. Security additions and deletions are made effective at the market open on the 9th business day of the following month.	Semi-Annual basis after the close of trading on the 8th business day of July and January.	-	https://indexes.Nasdaqomx.com/docs/Methodology_NQDXCN.pdf
	NQDXDE	Nasdaq AlphaDEX Germany Index	Semi-Annual Evaluation	July 7th	July 13th	The Index Securities are evaluated semi-annually in June and December. Security additions and deletions are made effective at the market open on the 9th business day of the following month.	Semi-Annual basis after the close of trading on the 8th business day of July and January.	-	https://indexes.Nasdaqomx.com/docs/Methodology_NQDXDE.pdf
	NQDXGB	Nasdaq AlphaDEX United Kingdom Index	Semi-Annual Evaluation	July 7th	July 13th	The Index Securities are evaluated semi-annually in June and December. Security additions and deletions are made effective at the market open on the 9th business day of the following month.	Semi-Annual basis after the close of trading on the 8th business day of July and January.	-	https://indexes.Nasdaqomx.com/docs/Methodology_NQDXGB.pdf
	NQDXGM	Nasdaq AlphaDEX Global Index	Semi-Annual Evaluation	April 6th	April 12th	The Index Securities are evaluated semi-annually in March and September. Security additions and deletions are made effective at the market open on the 9th business day of the following month.	Semi-Annual basis after the close of trading on the 8th business day of April and October.	-	https://indexes.Nasdaqomx.com/docs/Methodology_NQDXGM.pdf
	NQDXHK	Nasdaq AlphaDEX Hong Kong Index	Semi-Annual Evaluation	July 7th	July 13th	The Index Securities are evaluated semi-annually in June and December. Security additions and deletions are made effective at the market open on the 9th business day of the following month.	Semi-Annual basis after the close of trading on the 8th business day of July and January.	-	https://indexes.Nasdaqomx.com/docs/Methodology_NQDXHK.pdf
	NQDXJP	Nasdaq AlphaDEX Japan Index	Semi-Annual Evaluation	July 7th	July 13th	The Index Securities are evaluated semi-annually in June and December. Security additions and deletions are made effective at the market open on the 9th business day of the following month.	Semi-Annual basis after the close of trading on the 8th business day of July and January.	-	https://indexes.Nasdaqomx.com/docs/Methodology_NQDXJP.pdf
	NQDXKR	Nasdaq AlphaDEX South Korea Index	Semi-Annual Evaluation	July 7th	July 13th	The Index Securities are evaluated semi-annually in June and December. Security additions and deletions are made effective at the market open on the 9th business day of the following month.	Semi-Annual basis after the close of trading on the 8th business day of July and January.	-	https://indexes.Nasdaqomx.com/docs/Methodology_NQDXKR.pdf
	NQDXTW	Nasdaq AlphaDEX Taiwan Index	Semi-Annual Evaluation	July 7th	July 13th	The Index Securities are evaluated semi-annually in June and December. Security additions and deletions are made effective at the market open on the 9th business day of the following month.	Semi-Annual basis after the close of trading on the 8th business day of July and January.	-	https://indexes.Nasdaqomx.com/docs/Methodology_NQDXTW.pdf
	NQDXUSB	Nasdaq AlphaDEX Total US Market Index	Quarterly Evaluation	April 4th	April 7th	The Index Securities are evaluated quarterly in March, June, September and December. Security additions and deletions are made effective at the market open on the 6th business day of the following month.	Quarterly basis after the close of trading on the 5th business day of in January, April, July and October.	-	https://indexes.Nasdaqomx.com/docs/Methodology_NQDXUSB.pdf

 Indexes With Upcoming Evaluations

 Rebalances

INDEX FAMILY	NASDAQ INDEX TICKER	INDEX NAME	UPCOMING REBALANCE TYPE	UPCOMING TARGET REBALANCE ANNOUNCEMENT DATE (announced after the close)	UPCOMING REBALANCE EFFECTIVE DATE (effective after the close)	EVALUATION NOTES	REBALANCE NOTES	ALTERNATIVE WEIGHTING REBALANCE NOTES	LINK TO METHODOLOGY
Dividend & Income	DAA	Nasdaq US Broad Dividend Achievers Index	Annual Evaluation	March 11th	March 18th	The Index Securities are evaluated annually in March. Security additions and deletions are made effective after the close of trading on the third Friday in March.	Quarterly basis after the close of trading on the third Friday in March, June, September and December.	-	https://indexes.Nasdaqomx.com/docs/Methodology_DAA.pdf
	DAT	Nasdaq International Dividend Achievers Index	Annual Evaluation	March 11th	March 18th	The Index Securities are evaluated annually in March. Security additions and deletions are made effective after the close of trading on the third Friday in March.	Quarterly basis after the close of trading on the third Friday in March, June, September and December.	At each quarter, the Index is rebalanced based on the trailing 12 months dividend yield of each security as compared to the dividend yield of all Index Securities.	https://indexes.Nasdaqomx.com/docs/Methodology_DAT.pdf
	DAY	Nasdaq US Dividend Achievers 50 Index	Annual Evaluation	March 11th	March 18th	The Index Securities are evaluated annually in March. Security additions and deletions are made effective after the close of trading on the third Friday in March.	Quarterly basis after the close of trading on the third Friday in March, June, September and December.	At each quarter, the Index is rebalanced based on the trailing 12 months dividend yield of each security as compared to the dividend yield of all Index Securities.	https://indexes.Nasdaqomx.com/docs/Methodology_DAY.pdf
	DRB	Nasdaq US Buyback Achievers Index	Quarterly Rebalance	April 22nd	April 29th	The Index Securities are evaluated annually in January. Security additions and deletions are made effective after the close of trading on the last trade day in January.	Quarterly basis after the close of trading on the last trading day in January, April, July and October.	-	https://indexes.Nasdaqomx.com/docs/Methodology_DRB.pdf
	DRBXUS	Nasdaq International BuyBack Achievers Index	Quarterly Rebalance	April 22nd	April 29th	The Index Securities are evaluated annually in July. Security additions and deletions are made effective after the close of trading on the last trade day in July.	Quarterly basis after the close of trading on the last trading day in January, April, July and October.	-	https://indexes.Nasdaqomx.com/docs/Methodology_DRBXUS.pdf
	DVG	Nasdaq US Dividend Achievers Select Index	Annual Evaluation	-	March 18th	The Index Securities are evaluated annually in March. Security additions and deletions are made effective after the close of trading on the third Friday in March.	Annual basis after the close of trading on the third Friday in March.	-	https://indexes.Nasdaqomx.com/docs/Methodology_DVG.pdf
	DVGI	Nasdaq International Dividend Achievers Select Index	Annual Evaluation	-	March 18th	The Index Securities are evaluated annually in March. Security additions and deletions are made effective after the close of trading on the third Friday in March.	Annual basis after the close of trading on the third Friday in March.	-	https://indexes.Nasdaqomx.com/docs/Methodology_DVGI.pdf
	NQ96DIVUS	Nasdaq Technology Dividend Index	Semi-Annual Evaluation	March 11th	March 18th	The Index Securities are evaluated semi-annually in March and September. Security additions and deletions are made effective after the close of trading on the third Friday in March and September.	Quarterly basis after the close of trading on the third Friday in March, June, September and December.	At each quarter, the Index is rebalanced based on a modified dividend value weighting methodology.	https://indexes.Nasdaqomx.com/docs/Methodology_NQ96DIVUS.pdf
	NQDVRIS	Nasdaq US Rising Dividend Achievers Index	Annual Evaluation	March 11th	March 18th	The Index Securities are evaluated annually in March. Security additions and deletions are made effective after the close of trading on the third Friday in March.	Quarterly basis after the close of trading on the third Friday in March, June, September and December.	At each quarter, the Index is rebalanced based on an equal-dollar weighted methodology.	https://indexes.Nasdaqomx.com/docs/Methodology_NQDVRIS.pdf
	NQMAUS	Nasdaq US Multi-Asset Diversified Income Index	Quarterly Evaluation	March 11th	March 18th	The Index is evaluated quarterly in March, June, September and December. Security additions and deletions will be made effective at the close of business on the third Friday in each quarter in March, June, September, and December.	Quarterly basis after the close of trading on the third Friday in March, June, September and December.	At each quarter, the Index is rebalanced based on a modified market capitalization weighting methodology.	https://indexes.Nasdaqomx.com/docs/Methodology_NQMAUS.pdf
NQMAXUS	Nasdaq International Multi-Asset Diversified Income Index	Quarterly Evaluation	March 11th	March 18th	The Index is evaluated quarterly in March, June, September and December. Security additions and deletions will be made effective at the close of business on the third Friday in each quarter in March, June, September, and December.	Quarterly basis after the close of trading on the third Friday in March, June, September and December.	At each quarter, the Index is rebalanced based on a modified market capitalization weighting methodology.	https://indexes.Nasdaqomx.com/docs/Methodology_NQMAXUS.pdf	

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Dorsey Wright	DWADM	Dorsey Wright Developed Markets Technical Leaders Index	Quarterly Evaluation	March 24th	March 31st	The Index Securities are evaluated quarterly in March, June, September and December. Security additions and deletions are made effective after the close of trading on the last trading day in March, June, September, and December.	Quarterly basis after the close of trading on the last trading day in March, June, September and December	At each quarter, the Index is rebalanced based on a modified market capitalization weighting methodology.	https://indexes.Nasdaqomx.com/docs/Methodology_DorseyWrightTechnicalIndexes.pdf
	DWAEM	Dorsey Wright Emerging Markets Technical Leaders Index	Quarterly Evaluation	March 24th	March 31st	The Index Securities are evaluated quarterly in March, June, September and December. Security additions and deletions are made effective after the close of trading on the last trading day in March, June, September, and December.	Quarterly basis after the close of trading on the last trading day in March, June, September and December	At each quarter, the Index is rebalanced based on a modified market capitalization weighting methodology.	https://indexes.Nasdaqomx.com/docs/Methodology_DorseyWrightTechnicalIndexes.pdf
	DWANQFF	Dorsey Wright Focus Five Index	Periodic Evaluation	-	-	-	-	At each rebalance, the index is equal weighted	https://indexes.Nasdaqomx.com/docs/Methodology_DWANQFF.pdf
	DWANQIFF	Dorsey Wright International Focus Five Index	Periodic Evaluation	-	-	-	-	At each rebalance, the index is equal weighted	https://indexes.Nasdaqomx.com/docs/Methodology_DWANQIFF.pdf
	DWANQTL	Dorsey Wright Nasdaq Technical Leaders Index	Quarterly Evaluation	March 24th	March 31st	The Index Securities are evaluated quarterly in March, June, September and December. Security additions and deletions are made effective after the close of trading on the last trading day in March, June, September, and December.	Quarterly basis after the close of trading on the last trading day in March, June, September and December	At each quarter, the Index is rebalanced based on a modified market capitalization weighting methodology.	https://indexes.Nasdaqomx.com/docs/Methodology_DorseyWrightTechnicalIndexes.pdf
	DWATLSC	Dorsey Wright Small Cap Technical Leaders Index	Quarterly Evaluation	March 24th	March 31st	The Index Securities are evaluated quarterly in March, June, September and December. Security additions and deletions are made effective after the close of trading on the last trading day in March, June, September, and December.	Quarterly basis after the close of trading on the last trading day in March, June, September and December	At each quarter, the Index is rebalanced based on a modified market capitalization weighting methodology.	https://indexes.Nasdaqomx.com/docs/Methodology_DorseyWrightTechnicalIndexes.pdf
	DWBM	Dorsey Wright Basic Materials Technical Leaders Index	Quarterly Evaluation	March 24th	March 31st	The Index Securities are evaluated quarterly in March, June, September and December. Security additions and deletions are made effective after the close of trading on the last trading day in March, June, September, and December.	Quarterly basis after the close of trading on the last trading day in March, June, September and December	At each quarter, the Index is rebalanced based on a modified market capitalization weighting methodology.	https://indexes.Nasdaqomx.com/docs/Methodology_DorseyWrightTechnicalIndexes.pdf
	DWCC	Dorsey Wright Consumer Cyclical Technical Leaders Index	Quarterly Evaluation	March 24th	March 31st	The Index Securities are evaluated quarterly in March, June, September and December. Security additions and deletions are made effective after the close of trading on the last trading day in March, June, September, and December.	Quarterly basis after the close of trading on the last trading day in March, June, September and December	At each quarter, the Index is rebalanced based on a modified market capitalization weighting methodology.	https://indexes.Nasdaqomx.com/docs/Methodology_DorseyWrightTechnicalIndexes.pdf
	DWCS	Dorsey Wright Consumer Staples Technical Leaders Index	Quarterly Evaluation	March 24th	March 31st	The Index Securities are evaluated quarterly in March, June, September and December. Security additions and deletions are made effective after the close of trading on the last trading day in March, June, September, and December.	Quarterly basis after the close of trading on the last trading day in March, June, September and December	At each quarter, the Index is rebalanced based on a modified market capitalization weighting methodology.	https://indexes.Nasdaqomx.com/docs/Methodology_DorseyWrightTechnicalIndexes.pdf
	DWEN	Dorsey Wright Energy Technical Leaders Index	Quarterly Evaluation	March 24th	March 31st	The Index Securities are evaluated quarterly in March, June, September and December. Security additions and deletions are made effective after the close of trading on the last trading day in March, June, September, and December.	Quarterly basis after the close of trading on the last trading day in March, June, September and December	At each quarter, the Index is rebalanced based on a modified market capitalization weighting methodology.	https://indexes.Nasdaqomx.com/docs/Methodology_DorseyWrightTechnicalIndexes.pdf
	DWFN	Dorsey Wright Financials Technical Leaders Index	Quarterly Evaluation	March 24th	March 31st	The Index Securities are evaluated quarterly in March, June, September and December. Security additions and deletions are made effective after the close of trading on the last trading day in March, June, September, and December.	Quarterly basis after the close of trading on the last trading day in March, June, September and December	At each quarter, the Index is rebalanced based on a modified market capitalization weighting methodology.	https://indexes.Nasdaqomx.com/docs/Methodology_DorseyWrightTechnicalIndexes.pdf
DWHC	Dorsey Wright Healthcare Technical Leaders Index	Quarterly Evaluation	March 24th	March 31st	The Index Securities are evaluated quarterly in March, June, September and December. Security additions and deletions are made effective after the close of trading on the last trading day in March, June, September, and December.	Quarterly basis after the close of trading on the last trading day in March, June, September and December	At each quarter, the Index is rebalanced based on a modified market capitalization weighting methodology.	https://indexes.Nasdaqomx.com/docs/Methodology_DorseyWrightTechnicalIndexes.pdf	

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Dorsey Wright	DWIDX	Dorsey Wright Industrials Technical Leaders Index	Quarterly Evaluation	March 24th	March 31st	The Index Securities are evaluated quarterly in March, June, September and December. Security additions and deletions are made effective after the close of trading on the last trading day in March, June, September, and December.	Quarterly basis after the close of trading on the last trading day in March, June, September and December	At each quarter, the Index is rebalanced based on a modified market capitalization weighting methodology.	https://indexes.Nasdaqomx.com/docs/Methodology_DorseyWrightTechnicalIndexes.pdf
	DWTL	Dorsey Wright Technical Leaders Index	Quarterly Evaluation	March 24th	March 31st	The Index Securities are evaluated quarterly in March, June, September and December. Security additions and deletions are made effective after the close of trading on the last trading day in March, June, September, and December.	Quarterly basis after the close of trading on the last trading day in March, June, September and December	At each quarter, the Index is rebalanced based on a modified market capitalization weighting methodology.	https://indexes.Nasdaqomx.com/docs/Methodology_DorseyWrightTechnicalIndexes.pdf
	DWTY	Dorsey Wright Technology Technical Leaders Index	Quarterly Evaluation	March 24th	March 31st	The Index Securities are evaluated quarterly in March, June, September and December. Security additions and deletions are made effective after the close of trading on the last trading day in March, June, September, and December.	Quarterly basis after the close of trading on the last trading day in March, June, September and December	At each quarter, the Index is rebalanced based on a modified market capitalization weighting methodology.	https://indexes.Nasdaqomx.com/docs/Methodology_DorseyWrightTechnicalIndexes.pdf
	DWUT	Dorsey Wright Utilities Technical Leaders Index	Quarterly Evaluation	March 24th	March 31st	The Index Securities are evaluated quarterly in March, June, September and December. Security additions and deletions are made effective after the close of trading on the last trading day in March, June, September, and December.	Quarterly basis after the close of trading on the last trading day in March, June, September and December	At each quarter, the Index is rebalanced based on a modified market capitalization weighting methodology.	https://indexes.Nasdaqomx.com/docs/Methodology_DorseyWrightTechnicalIndexes.pdf
Green	GRNWATERL	Nasdaq OMX Global Water	Quarterly Rebalance	March 11th	March 18th	The Index Securities are evaluated annually in June. Security additions and deletions are made effective after the close of trading on the third Friday in June.	Quarterly basis after the close of trading on the third Friday in March, June, September and December.	At each quarter, the Index is rebalanced based on a modified liquidity weighting methodology.	https://indexes.Nasdaqomx.com/docs/Methodology_GRNWATERL.pdf
	GRNWATUSSL	Nasdaq OMX US Water	Quarterly Rebalance	March 11th	March 18th	The Index Securities are evaluated annually in June. Security additions and deletions are made effective after the close of trading on the third Friday in June.	Quarterly basis after the close of trading on the third Friday in March, June, September and December.	At each quarter, the Index is rebalanced based on a modified liquidity weighting methodology.	https://indexes.Nasdaqomx.com/docs/Methodology_GRNWATUSSL.pdf
KBW	BKX	KBW Bank Index	Quarterly Evaluation	March 11th	March 18th	The Index is evaluated quarterly in March, June, September and December. Security additions and deletions will be made effective at the close of business on the third Friday in each quarter in March, June, September, and December.	Quarterly basis after the close of trading on the third Friday in March, June, September and December.	-	https://indexes.Nasdaqomx.com/docs/Methodology_BKX.pdf
	KDX	KBW Financial Sector Dividend Yield Index	Quarterly Evaluation	March 11th	March 18th	The Index is evaluated quarterly in March, June, September and December. Security additions and deletions will be made effective at the close of business on the third Friday in each quarter in March, June, September, and December.	Quarterly basis after the close of trading on the third Friday in March, June, September and December.	At each quarter, the Index is rebalanced based on a dividend yield weighting methodology.	https://indexes.Nasdaqomx.com/docs/Methodology_KDX.pdf
	KIX	KBW Insurance Index	Quarterly Evaluation	March 11th	March 18th	The Index is evaluated quarterly in March, June, September and December. Security additions and deletions will be made effective at the close of business on the third Friday in each quarter in March, June, September, and December.	Quarterly basis after the close of trading on the third Friday in March, June, September and December.	-	https://indexes.Nasdaqomx.com/docs/Methodology_KIX.pdf
	KPX	KBW Property & Casualty Index	Quarterly Evaluation	March 11th	March 18th	The Index is evaluated quarterly in March, June, September and December. Security additions and deletions will be made effective at the close of business on the third Friday in each quarter in March, June, September, and December.	Quarterly basis after the close of trading on the third Friday in March, June, September and December.	-	https://indexes.Nasdaqomx.com/docs/Methodology_KPX.pdf
	KRX	KBW Regional Banking Index	Quarterly Evaluation	March 11th	March 18th	The Index is evaluated quarterly in March, June, September and December. Security additions and deletions will be made effective at the close of business on the third Friday in each quarter in March, June, September, and December.	Quarterly basis after the close of trading on the third Friday in March, June, September and December.	-	https://indexes.Nasdaqomx.com/docs/Methodology_KRX.pdf
	KSX	KBW Capital Markets Index	Quarterly Evaluation	March 11th	March 18th	The Index is evaluated quarterly in March, June, September and December. Security additions and deletions will be made effective at the close of business on the third Friday in each quarter in March, June, September, and December.	Quarterly basis after the close of trading on the third Friday in March, June, September and December.	-	https://indexes.Nasdaqomx.com/docs/Methodology_KSX.pdf

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KBW	KYX	KBW Premium Yield Eq. REIT Index	Quarterly Evaluation	March 11th	March 18th	The Index is evaluated quarterly in March, June, September and December. Security additions and deletions will be made effective at the close of business on the third Friday in each quarter in March, June, September, and December.	Quarterly basis after the close of trading on the third Friday in March, June, September and December.	At each quarter, the Index is rebalanced based on a dividend yield weighting methodology.	https://indexes.Nasdaqomx.com/docs/Methodology_KYX.pdf
	OMXH25	OMX Helsinki 25	Quarterly Rebalance	April 22nd	April 29th	The Index Securities are evaluated semi-annually in January and July. Security additions and deletions are made effective after the close of trading on the last trading day in January and July.	Quarterly basis after the close of trading on the last trading day in January, April, July, and October	-	https://indexes.Nasdaqomx.com/docs/Methodology_OMXH25.pdf
Nordic Classic	OMXI8CAP	OMX Iceland 8 Cap	Semi-annual Evaluation	June 23rd	June 30th	The Index Securities are evaluated semi-annually in June and December. Security additions and deletions are made effective after the close of trading on the last trading day in June and December.	Semi-annual basis after the close of trading on the last trading day in June and December.	-	https://indexes.Nasdaqomx.com/docs/Methodology_OMXI8.pdf
	OMXS30	OMX Stockholm 30	Semi-annual Evaluation	June 23rd	June 30th	The Index Securities are evaluated semi-annually in June and December. Security additions and deletions are made effective after the close of trading on the last trading day in June and December.	Semi-annual basis after the close of trading on the last trading day in June and December.	-	https://indexes.Nasdaqomx.com/docs/Methodology_OMXS30.pdf
	OMXSBI	OMX Stockholm Benchmark	Semi-annual Evaluation	May 24th	May 31st	The Index Securities are evaluated semi-annually in May and November. Security additions and deletions are made effective after the close of trading on the last trading day in May and November.	Quarterly basis after the close of trading on the last trading day in February, May, August, and November	-	https://indexes.Nasdaqomx.com/docs/Methodology_NORDIC.pdf
	ABQI	Nasdaq OMX ABA Community Bank	Quarterly Rebalance	March 11th	March 18th	The Index composition is reviewed semi-annually in June and December. Security additions and deletions are made effective after the close of trading on the third Friday in June and December.	Quarterly basis after the close of trading on the third Friday in March, June, September and December.	-	https://indexes.Nasdaqomx.com/docs/Methodology_ABQI.pdf
US Classic	CELS	Nasdaq Clean Edge Green Energy	Semi-Annual Evaluation	March 11th	March 18th	The Index Securities are evaluated semi-annually in March and September. Security additions and deletions are made effective after the close of trading on the third Friday of each March and September.	Quarterly basis after the close of trading on the third Friday in March, June, September and December.	-	https://indexes.Nasdaqomx.com/docs/Methodology_CELS.pdf
	CHXN9000	Nasdaq OMX China Technology	Annual Evaluation	March 11th	March 18th	The Index Securities are evaluated annually in March. Security additions and deletions are made effective after the close of trading on the third Friday in March.	Quarterly basis after the close of trading on the third Friday in March, June, September and December.	-	https://indexes.Nasdaqomx.com/docs/Methodology_CHXN9000.pdf
	COMP	Nasdaq Composite	-	-	-	Daily	-	-	https://indexes.Nasdaqomx.com/docs/Methodology_COMP.pdf
	HXC	Nasdaq Golden Dragon China Index	Quarterly Evaluation	March 11th	March 18th	The Index Securities are evaluated quarterly in March, June, September and December. Security additions and deletions are made effective after the close of trading on the third Friday of March, June, September and December.	Quarterly basis after the close of trading on the third Friday in March, June, September and December.	-	https://indexes.Nasdaqomx.com/docs/Methodology_HXC.pdf
	NBI	Nasdaq Biotechnology	Quarterly Rebalance	March 11th	March 18th	The Index Securities are evaluated annually in December. Security additions and deletions are made effective after the close of trading on the third Friday in December.	Quarterly basis after the close of trading on the third Friday in March, June, September and December.	-	https://indexes.Nasdaqomx.com/docs/Methodology_NBI.pdf
	NDX	Nasdaq-100	Quarterly Rebalance	March 11th	March 18th	The Index Securities are evaluated annually in December. Security additions and deletions are made effective after the close of trading on the third Friday in December.	Quarterly basis after the close of trading on the third Friday in March, June, September and December.	-	https://indexes.Nasdaqomx.com/docs/Methodology_NDX.pdf
	NDXE	Nasdaq-100 Equal Weighted	Quarterly Rebalance	March 11th	March 18th	The Index Securities are evaluated annually in December. Security additions and deletions are made effective after the close of trading on the third Friday in December.	Quarterly basis after the close of trading on the third Friday in March, June, September and December.	At each quarter, the Index is rebalanced based on an equal-dollar weighting methodology.	https://indexes.Nasdaqomx.com/docs/Methodology_NDXE.pdf
	NDXT	Nasdaq-100 Technology Sector	Quarterly Rebalance	March 11th	March 18th	The Index Securities are evaluated annually in December. Security additions and deletions are made effective after the close of trading on the third Friday in December.	Quarterly basis after the close of trading on the third Friday in March, June, September and December.	At each quarter, the Index is rebalanced based on an equal-dollar weighting methodology.	https://indexes.Nasdaqomx.com/docs/Methodology_NDXT.pdf

 Indexes With Upcoming Evaluations

 Rebalances

INDEX FAMILY	NASDAQ INDEX TICKER	INDEX NAME	UPCOMING REBALANCE TYPE	UPCOMING TARGET REBALANCE ANNOUNCEMENT DATE (announced after the close)	UPCOMING REBALANCE EFFECTIVE DATE (effective after the close)	EVALUATION NOTES	REBALANCE NOTES	ALTERNATIVE WEIGHTING REBALANCE NOTES	LINK TO METHODOLOGY
US Classic	NDXX	Nasdaq-100 Ex-Tech Sector	Quarterly Rebalance	March 11th	March 18th	The Index Securities are evaluated annually in December. Security additions and deletions are made effective after the close of trading on the third Friday in December.	Quarterly basis after the close of trading on the third Friday in March, June, September and December.	At each quarter, the Index is rebalanced based on an equal-dollar weighting methodology.	https://indexes.Nasdaqomx.com/docs/Methodology_NDXX.pdf
	NQCAPST	The Capital Strength Index	Quarterly Evaluation	April 8th	April 15th	The Index Securities are evaluated quarterly in January, April, July and October. Security additions and deletions are made effective after the close of trading on the third Friday in January, April, July, and October.	Quarterly basis after the close of trading on the third Friday in January, April, July, and October.	At each quarter, the Index is rebalanced based on an equal-dollar weighted methodology.	https://indexes.Nasdaqomx.com/docs/Methodology_NQCAPST.pdf
	NQCYBR	Nasdaq CTA Cybersecurity Index	Semi-Annual Evaluation	March 11th	March 18th	The Index Securities are evaluated semi-annually in March and September. Security additions and deletions are made effective after the close of trading on the third Friday in March and September.	Quarterly basis after the close of trading on the third Friday in March, June, September and December.	At each quarter, the Index is rebalanced based on a modified liquidity weighting methodology.	https://indexes.Nasdaqomx.com/docs/Methodology_NQCYBR.pdf
	QAGR	Nasdaq OMX Global Agriculture	Quarterly Rebalance	March 11th	March 18th	The Index Securities are evaluated annually in June. Security additions and deletions are made effective after the close of trading on the third Friday in June.	Quarterly basis after the close of trading on the third Friday in March, June, September and December.	-	https://indexes.Nasdaqomx.com/docs/Methodology_QAGR.pdf
	QAUTO	Nasdaq OMX Global Automobile	Quarterly Rebalance	March 11th	March 18th	The Index Securities are evaluated annually in June. Security additions and deletions are made effective after the close of trading on the third Friday in June.	Quarterly basis after the close of trading on the third Friday in March, June, September and December.	-	https://indexes.Nasdaqomx.com/docs/Methodology_QAUTO.pdf
	QFON	Nasdaq OMX CTA Smartphone	Semi-Annual Evaluation	March 11th	March 18th	The Index Securities are evaluated semi-annually in March and September. Security additions and deletions are made effective after the close of trading on the third Friday in March and September.	Quarterly basis after the close of trading on the third Friday in March, June, September and December.	At each quarter, the Index is rebalanced based on a modified equal dollar weighting methodology.	https://indexes.Nasdaqomx.com/docs/Methodology_QFON.pdf
	QGLD	Nasdaq OMX Gbl Gold & Precious Metals	Quarterly Rebalance	March 11th	March 18th	The Index Securities are evaluated annually in September. Security additions and deletions are made effective after the close of trading on the third Friday in September.	Quarterly basis after the close of trading on the third Friday in March, June, September and December.	-	https://indexes.Nasdaqomx.com/docs/Methodology_QGLD.pdf
	QGRD	Nasdaq OMX Clean Edge Smart Grid Infrastructure	Semi-Annual Evaluation	March 11th	March 18th	The Index Securities are evaluated semi-annually in March and September. Security additions and deletions are made effective after the close of trading on each third Friday in March and September.	Quarterly basis after the close of trading on the third Friday in March, June, September and December.	-	https://indexes.Nasdaqomx.com/docs/Methodology_QGRD.pdf
	QNET	Nasdaq Internet	Annual Evaluation	March 11th	March 18th	The Index Securities are evaluated annually in March. Security additions and deletions are made effective after the close of trading on the third Friday in March.	Quarterly basis after the close of trading on the third Friday in March, June, September and December.	-	https://indexes.Nasdaqomx.com/docs/Methodology_QNET.pdf
	SOX	PHLX Semiconductor	Quarterly Rebalance	March 11th	March 18th	The Index Securities are evaluated annually in September. Security additions and deletions are made effective after the close of trading on the third Friday in September.	Quarterly basis after the close of trading on the third Friday in March, June, September and December.	-	https://indexes.Nasdaqomx.com/docs/Methodology_SOX.pdf
VINX	VINX 30	Semi-annual Evaluation	June 10th	June 17th	The Index Securities are evaluated semi-annually in June and December. Security additions and deletions are made effective immediately after the June and December expiration, normally after the close of trading on the third Friday in June and December.	Semi-annual basis immediately after the June and December expiration (of options?), normally after the close of trading on the third Friday in June and December.	-	https://indexes.Nasdaqomx.com/docs/Methodology_VINX.pdf	

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