



Rules for the Construction and Maintenance of the

NASDAQ OMX NORDIC TRADABLE SECTOR INDEXES

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1. INTRODUCTION

NASDAQ, Inc. and its affiliates own and calculate the stock indexes NASDAQ OMX Nordic Tradable Sectors (the “Indexes”) and has granted NASDAQ OMX Stockholm AB (“NASDAQ Stockholm” or the “Exchange”) and the co-operating exchanges the right to use the Indexes in connection with trading in and clearing of contracts related to the Indexes in accordance with these rules and regulations. .

The NASDAQ OMX Nordic Tradable Sectors Indexes have been constructed with the objective to reflect the development of sectors including the largest and most traded shares listed in the Nordics. The NASDAQ OMX Nordic Tradable Sectors Indexes are focused on investability and periodic stability of the index population. The Indexes are constructed to be suitable as underlying for tradable products, such as derivatives, ETFs and structured products.

1.1 INDEXES

NASDAQ OMX Nordic 120 consists of the 120 shares, depository receipts or suchlike (together named “shares”) with the highest free float market cap of the 150 most traded shares on the NASDAQ OMX Copenhagen (“NASDAQ Copenhagen”), NASDAQ OMX Helsinki (“NASDAQ Helsinki”), NASDAQ Stockholm and Oslo Børs Exchanges. The NASDAQ OMX Nordic 120 population serves as a universe for the NASDAQ OMX Nordic Tradable Sector Indexes. Such security is hereinafter referred to as an Index Share.

All indexes are available in Price, Gross and Net versions. The indexes are free float adjusted market capitalization weighted and calculated in Euro (EUR) and Swedish Krona (SEK) using the official WM/Reuters Exchange Rates. For the Net versions of NASDAQ OMX Nordic Tradable Sector indexes, 15 percent withholding tax is deducted from all dividends. The percentage is based on the Luxembourg tax treaties and is applied for all companies currently comprised in the indexes.

All indexes with more than five companies are capped according to UCITS IV.

These rules govern the construction and maintenance of the following indexes:

NASDAQ OMX Nordic 120 (NOMXN120)

Consists of the 120 largest and most traded shares listed in the Nordics.

NASDAQ OMX Nordic Energy (NOMXNEN)

Consists of the shares in the NASDAQ OMX Nordic 120 index within the Energy sector.

NASDAQ OMX Nordic Materials (NOMXNMA)

Consists of the shares in the NASDAQ OMX Nordic 120 index within the Materials sector.

NASDAQ OMX Nordic Industrials (NOMXNIN)

Consists of the shares in the NASDAQ OMX Nordic 120 index within the Industrials sector.

NASDAQ OMX Nordic Transportation (NOMXNTP)

Consists of the shares in the NASDAQ OMX Nordic 120 index within the Transportation sector.

NASDAQ OMX Nordic Construction & Real Estate (NOMXNCR)

Consists of the shares in the NASDAQ OMX Nordic 120 index within the Construction & Real Estate sector.

NASDAQ OMX Nordic Consumer (NOMXNCO)

Consists of the shares in the NASDAQ OMX Nordic 120 index within the Consumer sector.

NASDAQ OMX Nordic Health Care (NOMXNHC)

Consists of the shares in the NASDAQ OMX Nordic 120 index within the Health Care sector.

NASDAQ OMX Nordic Bank & Insurance (NOMXNBI)

Consists of the shares in the NASDAQ OMX Nordic 120 index within the Bank & Insurance sector.

NASDAQ OMX Nordic Investment Companies (NOMXNIC)

Consists of the shares in the NASDAQ OMX Nordic 120 index within the Investment Companies sector.

NASDAQ OMX Nordic Telecom & InfoTech (NOMXNTI)

Consists of the shares in the NASDAQ OMX Nordic 120 index within the Telecom & InfoTech sector.

2. INDEX SHARE ELIGIBILITY & SELECTION RULES

2.1 INDEX SHARE ELIGIBILITY

The selection process is based on shares that meet the following requirements:

- + To be considered as Index Share the share has to be listed on the NASDAQ Copenhagen, NASDAQ Helsinki, NASDAQ Stockholm or Oslo Børs.
- + Only shares, depository receipts or suchlike are considered as Index Shares.

Security types typically not included in the Index are closed-end funds, exchange traded funds, mutual funds, unit-investment trusts, convertible debentures, preferred stocks, rights, warrants, and other derivative securities.

The number of shares for each security is fixed between the reviews with the exception of adjustments for corporate actions with priority for existing shareholders

If a company has listed multiple stock classes each of which is priced and traded separately, all stock classes are considered during the reviews and are treated as separate securities.

For NASDAQ indexes, securities classified as '8985 Equity Investment Instruments' or '8995 Nonequity Investment Instruments' according to FTSE ICB are not considered eligible for index inclusion

2.1.1 CONTROL PERIOD AND TURNOVER FIGURES

The six months, starting seven months before the review month, is defined as the control period. Turnover figures from the control period are used in the semi-annual reviews. Only automatically matched trades and trades reported during the exchanges' opening hours are considered during the reviews.

If the share is listed at more than one of the specified exchanges, turnover is calculated in EUR and aggregated under the most traded share. The most traded share will be considered during the semi-annual reviews.

If the trading volume of a share which qualifies as an Index Share is considered not to be representative, NASDAQ may decide that the share is ineligible.

When calculating market value during reviews, Last Sale Price (“LSP”) from the last Trading Day in the month before the review month is used.

2.2 INDEX SHARE SELECTION

2.2.1 NASDAQ OMX NORDIC 120

NASDAQ OMX Nordic 120 consists of the 120 shares with the highest free float market cap of the 150 most traded shares on the NASDAQ Copenhagen, NASDAQ Helsinki, NASDAQ Stockholm and Oslo Børs. The NASDAQ OMX Nordic 120 population serves as a universe for the NASDAQ OMX Nordic Tradable Sector Indexes.

2.2.1.1 SEMI-ANNUAL REVIEW OF NASDAQ OMX NORDIC 120

NASDAQ OMX Nordic 120 is reviewed semi-annually, effective the first Trading Day after the third Friday in June and December.

Closing prices are used for shares entering and exiting the index during reviews.

The following three steps are applied during the semi-annual review:

- (i) To avoid seasonal fluctuations all eligible securities are sorted according to the official turnover during the Control Period (see 2.1.1 above).
- (ii) The top 150 shares are selected as candidates for the Index.
- (iii) The selected candidates are ranked according to free float market value and the top 120 are selected as Index Constituents.

2.2.2 NASDAQ OMX NORDIC TRADABLE SECTOR INDEXES

The NASDAQ OMX Nordic Tradable Sector Indexes are constructed with the NASDAQ OMX Nordic 120 population as universe. The population changes in the sector indexes are a result of the changes in the universe. The Exchange has constructed the following sector indexes based on the Industry Classification Benchmark (ICB) provided by FTSE. The sector index populations are thus based on the below definitions¹.

Review is conducted semi-annually effective the first Trading Day after the third Friday in June and December.

- + **NASDAQ OMX Nordic Energy**
Based on ICB Industries Oil & Gas (0001) and Utilities (7000)
- + **NASDAQ OMX Nordic Materials**
Based on ICB Industry Basic Materials (1000)

¹ If warranted by NASDAQ, deviations from the definitions can be made.

- + **NASDAQ OMX Nordic Industrials**
Based on ICB Sectors Aerospace & Defense (2710), Electrical Equipment (2730), Industrial Engineering (2750), ICB Subsectors Containers & Packaging (2723), and Auto Parts (3355)
- + **NASDAQ OMX Nordic Transportation**
Based on ICB Sector Industrial Transportation (2770), and ICB Subsector Airlines (5751)
- + **NASDAQ OMX Nordic Construction & Real Estate**
Based on ICB Supersectors Construction & Materials (2300) and Real Estate (8600)
- + **NASDAQ OMX Nordic Consumer**
Based on ICB Industries Consumer Goods (3000), Consumer Services (5000) and ICB Sector Support Services (2790), excluding ICB Subsectors Auto Parts (3355) and Airlines (5751)
- + **NASDAQ OMX Nordic Health Care**
Based on ICB Industry Health Care (4000)
- + **NASDAQ OMX Nordic Bank & Insurance**
Based on ICB Industry Financials (8000), excluding ICB Supersector Real Estate (8600) and ICB Subsector Specialty Finance (8775)
- + **NASDAQ OMX Nordic Investment Companies**
Based on ICB Subsector Specialty Finance (8775) and Diversified Industrials (2727)
- + **NASDAQ OMX Nordic Telecom & InfoTech**
Based on ICB Industries Technology (9000) and Telecommunications (6000)

2.3 DETAILED INDEX SHARE SELECTION CRITERIA

2.3.1 SECURITY TYPES

Security types generally eligible for the Index include ordinary shares, depositary receipts and suchlike. Security types generally not included in the Index are closed-end funds, convertible debentures, exchange-traded funds, limited partnership interests, rights, shares of limited liability companies, warrants and other derivative securities.

If a company has listed multiple stock classes, each of which is priced and traded separately, all stock classes are eligible for inclusion and are treated as separate securities.

Companies where 90 per cent or more of the outstanding shares are controlled by a single shareholder will be deemed ineligible.

2.3.2 FREE FLOAT

For free float criteria, see “*Rules for the Construction and Maintenance of the NASDAQ OMX All-Share, Benchmark and Sector Indexes*”.

3. INDEX CALCULATIONS & CORPORATE ACTIONS

3.1 INDEX CALCULATIONS

See “Rules for the Construction and Maintenance of the OMX Stockholm 30 Index”.

3.2 CORPORATE ACTIONS

See “Rules for the Construction and Maintenance of the OMX Stockholm 30 Index”.

3.3 FOREIGN EXCHANGE RATE

An index that consists of securities quoted in different currencies as well as the underlying prices of the securities, are converted into the index currency.

Foreign exchange rate is the WM Company, Closing Spot Rate at 16:00:00 UK time² in the calculation of the End Of Day (“EOD”) Index Value.

SIX Financial Information Intraday Spot Rate is applied to the real time Index calculation during the trading day.

3.4 BASE DATE AND BASE VALUE

The NASDAQ OMX Nordic Tradable Sector Indexes have a base value of 500 at the base date December 18, 2009.

4. INDEX MAINTENANCE

4.1 INDEX REBALANCING

The Index is rebalanced semi-annually by applying changes in total shares outstanding that have been accumulated during the half year. Changes are made effective after the close of the last trading day in each of June and December. If the scheduled effective date is a holiday, the effective date may be adjusted to the subsequent day.

During the semi-annual rebalancing, data is cutoff after the last trading day in May and November, respectively. No changes are made to the Index from that cutoff until the semi-annual share change effective date with exception for corporate actions with a known ex-day.

4.2 MARKET CAPITALIZATION (CAPPING)

Capping is performed semi-annually during the review effective the first Trading Day after the third Friday of June and December. Capping is also performed between the reviews if the limits are breached. If a company is represented by more than one share in the index, the market value of the shares will be aggregated. Capping is only applicable to indexes with more than five companies.

² The WM/Reuters Spot Rates provided by The World Markets Company plc (“WM”) in conjunction with Reuters. WM shall not be liable for any errors in or delays in providing or making available the data contained within this service or for any actions taken in reliance on the same, except to the extent that the same is directly caused by its or its employees’ negligence

4.2.1 SEMI-ANNUAL CAPPING

If the market value of the largest Index Share exceeds 30%, it will be capped at 30%. Any other Index Shares with a market value exceeding 15% will be capped at 15%.

4.2.2 DAILY CAPPING

If the market value of the largest Index Share exceeds 35%, it will be capped at 30%. Any other Index Shares with a market value exceeding 20% will be capped at 15% and if any other Index Shares have a market value exceeding 15% as a result of that they will also be capped at 15%.

Using a stepwise approach Index Shares are reduced in weight until all weight restrictions are satisfied.

4.3 INDEX SHARE CHANGES

In the event that a new Index Share is included in the index, it will be included based on the previous trading day's LSP.

In the event that a current Index Share is removed from the index, it will be removed based on the LSP of the last trading day it is included in the index.

5. GENERAL ANNOUNCEMENT POLICY

NASDAQ announces Index-related information via our premier service Global Index Watch at <http://indexes.nasdaqomx.com>.

Deadlines for announcements:

- + **Rule amendments:** Material changes to the rules are normally announced 3 months before they are put into effect.
- + **Periodic index composition:** A notice is normally announced no less than one (1) week prior to implementation. The Exchange reserve the right to alter the announced composition in case of a merger, take-over or other major events that is expected to deteriorate the index' ability to mimic the population of stocks representing the market.
- + **Corporate actions:** Implementations in the Indexes are done in accordance with the index rules. Notice of any adjustment shall, whenever possible, be published no later than two business days prior to the ex-day.

In the event that an Index calculation has been corrected historically, an announcement will be provided and clients are asked to update their databases accordingly.

6. GENERAL CORRECTION POLICY

Generally, the Index is maintained on an ongoing basis and any corporate actions are handled simultaneously as they occur, given that all necessary information is publically available. However, there may be events where there is not sufficient public information

available or that the event is announced post ex-day. In such cases, NASDAQ will decide on a case by case basis whether the event can be implemented in connection to the regularly scheduled Index reviews or if a historical correction of the affected Indexes is warranted.

Any historical correction to an Index is limited to a time frame of six (6) months prior, counting from the date where the correction is being implemented. If such a correction would occur, it will be announced according to the General Announcement Policy and new Index closing values will be provided as well as start of day and end of day Index weightings and summary report.

7. DISCRETIONARY ADJUSTMENTS

NASDAQ may, due to special circumstances, if deemed essential, apply discretionary adjustments to the Index.

8. ALTERNATIVE INFORMATION RETRIEVAL

If, in the opinion of NASDAQ, technical or other reasons, render the automatic transmission of price information or other information regarding an Index Share or currency fix from NASDAQ Exchanges, or other information system approved by NASDAQ, either wholly or partially unavailable, or if such price information is unreliable or in any other way fails to reflect the development of market prices in the currency type or Index Shares, NASDAQ may utilize another source of information and thereby apply other bases for the calculation of the Index than those which otherwise are stated in these rules.

INTELLECTUAL PROPERTY RIGHTS

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DISCLAIMER

NASDAQ may, from time to time, exercise reasonable discretion as it deems appropriate in order to ensure Index integrity including but not limited to quantitative inclusion criteria. NASDAQ may also, due to special circumstances, if deemed essential, apply discretionary adjustments to ensure and maintain the high quality of the index construction and calculation. NASDAQ and its affiliates do not guarantee the accuracy or completeness of any Index or of the data used to calculate the Index or determine the Index components, or the uninterrupted or undelayed calculation or dissemination of any Index. NASDAQ and its affiliates do not guarantee that any Index accurately reflects past, present, or future market performance.

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Appendix A

Index Symbol	Index Name	ISIN	Calculation Method	Linked to PR Index	Linked GTR Index	No adjustment for Extraord. Dividends
NOMXN120	NASDAQ OMX Nordic 120	SE0003270875	PR		NOMXN120GI	
NOMXN120GI	NASDAQ OMX Nordic 120 Gross Index	SE0003270883	GTR	NOMXN120		
NOMXN120NI	NASDAQ OMX Nordic 120 Net Index	SE0003270891	NTR			
NOMXN120SEK	NASDAQ OMX Nordic 120 SEK	SE0005307063	PR		NOMXN120SEKGI	
NOMXN120SEKGI	NASDAQ OMX Nordic 120 SEK Gross Index	SE0005307071	GTR	NOMXN120SEK		
NOMXN120SEKNI	NASDAQ OMX Nordic 120 SEK Net Index	SE0005307089	NTR			
NOMXNBI	NASDAQ OMX Nordic Bank & Insurance	SE0003271154	PR		NOMXNBIGI	
NOMXNBIGI	NASDAQ OMX Nordic Bank & Insurance Gross Index	SE0003271162	GTR	NOMXNBI		
NOMXNBINI	NASDAQ OMX Nordic Bank & Insurance Net Index	SE0003271170	NTR			
NOMXNBISEK	NASDAQ OMX Nordic Bank & Insurance SEK	SE0005307097	PR		NOMXNBISEKGI	
NOMXNBISEKGI	NASDAQ OMX Nordic Bank & Insurance SEK Gross Index	SE0005307105	GTR	NOMXNBISEK		
NOMXNBISEKNI	NASDAQ OMX Nordic Bank & Insurance SEK Net Index	SE0005307113	NTR			
NOMXNCO	NASDAQ OMX Nordic Consumer	SE0003271071	PR		NOMXNCOGI	
NOMXNCOGI	NASDAQ OMX Nordic Consumer Gross Index	SE0003271089	GTR	NOMXNCO		
NOMXNCONI	NASDAQ OMX Nordic Consumer Net Index	SE0003271097	NTR			
NOMXNCOSEK	NASDAQ OMX Nordic Consumer SEK	SE0005307147	PR		NOMXNCOSEKGI	
NOMXNCOSEKGI	NASDAQ OMX Nordic Consumer SEK Gross Index	SE0005307139	GTR	NOMXNCOSEK		
NOMXNCOSEKNI	NASDAQ OMX Nordic Consumer SEK Net Index	SE0005307121	NTR			
NOMXNCR	NASDAQ OMX Nordic Construction & Real Estate	SE0003271030	PR		NOMXNCRGI	
NOMXNCRGI	NASDAQ OMX Nordic Construction & Real Estate GI	SE0003271048	GTR	NOMXNCR		
NOMXNCRNI	NASDAQ OMX Nordic Construction & Real Estate NI	SE0003271055	NTR			
NOMXNCRSEK	NASDAQ OMX Nordic Const & Real Est SEK	SE0005307154	PR		NOMXNCRSEKGI	
NOMXNCRSEKGI	NASDAQ OMX Nordic Const & Real Est SEK Gross Index	SE0005307162	GTR	NOMXNCRSEK		
NOMXNCRSEKNI	NASDAQ OMX Nordic Const & Real Est SEK Net Index	SE0005307170	NTR			
NOMXNEN	NASDAQ OMX Nordic Energy	SE0003270917	PR		NOMXNENGI	
NOMXNENGI	NASDAQ OMX Nordic Energy Gross Index	SE0003270925	GTR	NOMXNEN		
NOMXNENNI	NASDAQ OMX Nordic Energy Net Index	SE0003270933	NTR			
NOMXNENSEK	NASDAQ OMX Nordic Energy SEK	SE0005307188	PR		NOMXNENSEKGI	
NOMXNENSEKGI	NASDAQ OMX Nordic Energy SEK Gross Index	SE0005307196	GTR	NOMXNENSEK		
NOMXNENSEKNI	NASDAQ OMX Nordic Energy SEK Net Index	SE0005307204	NTR			

NOMXNHC	NASDAQ OMX Nordic Health Care	SE0003271113	PR		NOMXNHCGI	
NOMXNHCGI	NASDAQ OMX Nordic Health Care Gross Index	SE0003271121	GTR	NOMXNHC		
NOMXNHCNI	NASDAQ OMX Nordic Health Care Net Index	SE0003271139	NTR			
NOMXNHCSEK	NASDAQ OMX Nordic Health Care SEK	SE0005307212	PR		NOMXNHCSEKGI	
NOMXNHCSEKGI	NASDAQ OMX Nordic Health Care SEK Gross Index	SE0005307220	GTR	NOMXNHCSEK		
NOMXNHCSEKNI	NASDAQ OMX Nordic Health Care SEK Net Index	SE0005307238	NTR			
NOMXNIC	NASDAQ OMX Nordic Investment Companies	SE0003271196	PR		NOMXNICGI	
NOMXNICGI	NASDAQ OMX Nordic Investment Companies Gross Index	SE0003271204	GTR	NOMXNIC		
NOMXNICNI	NASDAQ OMX Nordic Investment Companies Net Index	SE0003271212	NTR			
NOMXNICSEK	NASDAQ OMX Nordic Invest Companies SEK	SE0005307246	PR		NOMXNICSEKGI	
NOMXNICSEKGI	NASDAQ OMX Nordic Invest Companies SEK Gross Index	SE0005307253	GTR	NOMXNICSEK		
NOMXNICSEKNI	NASDAQ OMX Nordic Invest Companies SEK Net Index	SE0005307261	NTR			
NOMXNIN	NASDAQ OMX Nordic Industrials	SE0003270990	PR		NOMXNINGI	
NOMXNINGI	NASDAQ OMX Nordic Industrials Gross Index	SE0003271006	GTR	NOMXNIN		
NOMXNINNI	NASDAQ OMX Nordic Industrials Net Index	SE0003271014	NTR			
NOMXNINSEK	NASDAQ OMX Nordic Industrials SEK	SE0005307279	PR		NOMXNINSEKGI	
NOMXNINSEKGI	NASDAQ OMX Nordic Industrials SEK Gross Index	SE0005307287	GTR	NOMXNINSEK		
NOMXNINSEKNI	NASDAQ OMX Nordic Industrials SEK Net Index	SE0005307295	NTR			
NOMXNMA	NASDAQ OMX Nordic Materials	SE0003270958	PR		NOMXNMAGI	
NOMXNMAGI	NASDAQ OMX Nordic Materials Gross Index	SE0003270966	GTR	NOMXNMA		
NOMXNMANI	NASDAQ OMX Nordic Materials Net Index	SE0003270974	NTR			
NOMXNMASEK	NASDAQ OMX Nordic Materials SEK	SE0005307303	PR		NOMXNMASEKGI	
NOMXNMASEKGI	NASDAQ OMX Nordic Materials SEK Gross Index	SE0005307311	GTR	NOMXNMASEK		
NOMXNMASEKNI	NASDAQ OMX Nordic Materials SEK Net Index	SE0005307329	NTR			
NOMXNTI	NASDAQ OMX Nordic Telecom & InfoTech	SE0003271238	PR		NOMXNTIGI	
NOMXNTIGI	NASDAQ OMX Nordic Telecom & InfoTech Gross Index	SE0003271246	GTR	NOMXNTI		
NOMXNTINI	NASDAQ OMX Nordic Telecom & InfoTech Net Index	SE0003271253	NTR			
NOMXNTISEK	NASDAQ OMX Nordic Tele & InfoTech SEK	SE0005307337	PR		NOMXNTISEKGI	
NOMXNTISEKGI	NASDAQ OMX Nordic Tele & InfoTech SEK Gross Index	SE0005307345	GTR	NOMXNTISEK		
NOMXNTISEKNI	NASDAQ OMX Nordic Tele & InfoTech SEK Net Index	SE0005307352	NTR			
NOMXNTP	NASDAQ OMX Nordic Transportation	SE0003271279	PR		NOMXNTPGI	
NOMXNTPGI	NASDAQ OMX Nordic Transportation Gross Index	SE0003271287	GTR	NOMXNTP		

NOMXNTPNI	NASDAQ OMX Nordic Transportation Net Index	SE0003271295	NTR			
NOMXNTPSEK	NASDAQ OMX Nordic Transportation SEK	SE0005307360	PR		NOMXNTPSEKGI	
NOMXNTPSEKGI	NASDAQ OMX Nordic Transportation SEK Gross Index	SE0005307378	GTR	NOMXNTPSEK		
NOMXNTPSEKNI	NASDAQ OMX Nordic Transportation SEK Net Index	SE0005307386	NTR			