



Getting a Handle on the Nasdaq HANDL™ Methodology

August 2022

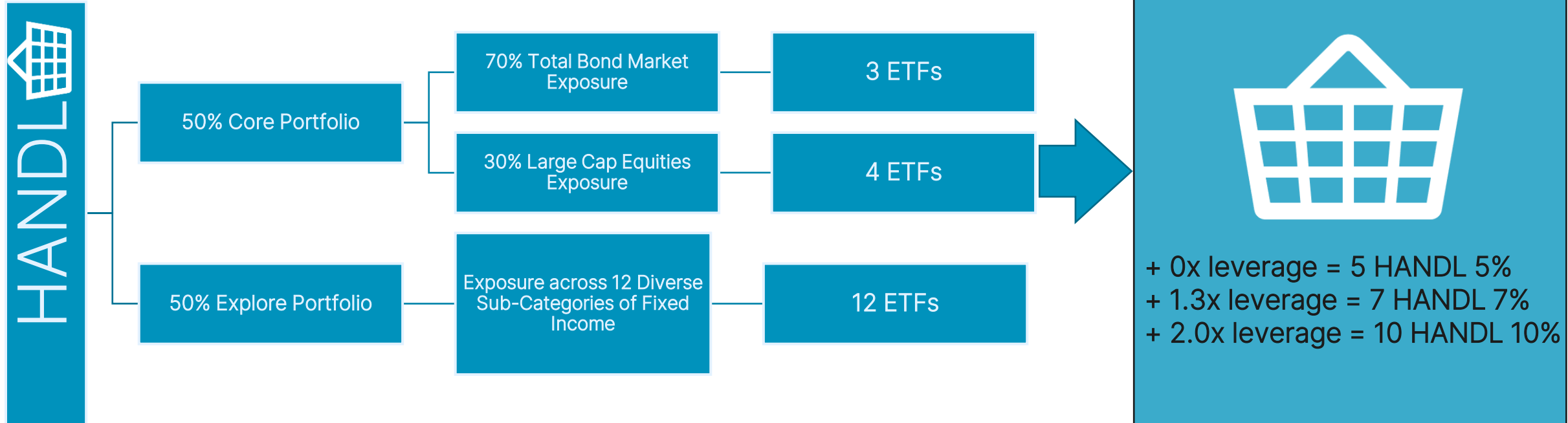
Sara Mehle, ESG Strategist, Nasdaq Global Indexes

Nasdaq HANDL Indexes

- Created for investors looking to track high dividend, rules-based fixed income solutions.
- Overall objective is to maximize returns while providing a steady stream of income in the form of monthly distributions.
- Each HANDL index is comprised of a well-diversified multi-asset portfolio of 19 ETFs.
- These indexes are meant to be as close as what you think of when you hear the words “fixed income”. They are literally looking to achieve a fixed amount of income.

Index Name	Leveraged Exposure	Targeted Distribution Rate	Index Inception Date	ETF Tracking Index
Nasdaq 5HANDL™ Index	0x	5%	6/13/2018	Strategy Shares Nasdaq 5HANDL Index ETF (NASDAQ: FIVR)
Nasdaq 7HANDL™ Index	1.3x	7%	10/12/2017	Strategy Shares Nasdaq 7HANDL Index ETF (NASDAQ: HNDL)
Nasdaq 10HANDL™ Index	2x	10%	11/16/2021	-

Nasdaq HANDL Indexes



In order to be eligible, an ETF must first meet the following criteria:

- be an ETF organized under the Investment Company Act of 1940
- be open for daily creations and redemptions
- be listed on a U.S. exchange, have a three-month average daily trading volume of at least 20,000 shares
- have traded for a minimum of one year



The Index is rebalanced monthly and reconstituted every January.



The Index uses a Modified Equal-Weight methodology. The Explore Portfolio is weighted on Relative Strength.

Nasdaq HANDL Indexes

Portfolio Sleeve	Symbol	Company Name	Index Weight (%)
Core	AGG	iShares Core U.S. Aggregate Bond ETF	11.28
Core	SPAB	SPDR Portfolio Aggregate Bond ETF	11.27
Core	BND	Vanguard Total Bond Market ETF	11.26
Core	QQQ	Invesco QQQ Trust	7.97
Core	IVV	iShares Core S&P 500 ETF	2.58
Core	SCHX	Schwab U.S. Large-Cap ETF	2.58
Core	VOO	Vanguard S&P 500 ETF	2.58
Explore	AMLP	Alerian MLP ETF	8.19
Explore	XLU	Utilities Select Sector SPDR Fund	7.02
Explore	VIG	Vanguard Dividend Appreciation ETF	6.46
Explore	SCHH	Schwab U.S. REIT ETF	5.91
Explore	NTSX	WisdomTree U.S. Efficient Core Fund	5.3
Explore	PFFD	Global X U.S. Preferred ETF	4.47
Explore	JEPI	JPMorgan Equity Premium Income ETF	3.76
Explore	VMBS	Vanguard Mortgage-Backed Securities ETF	3.12
Explore	BAB	Invesco Taxable Municipal Bond ETF	2.46
Explore	VCIT	Vanguard Intermediate-Term Corporate Bond ETF	1.88
Explore	USHY	iShares Broad USD High Yield Corporate Bond ETF	1.28
Explore	FBND	Fidelity Total Bond ETF	0.62

Stronger RS

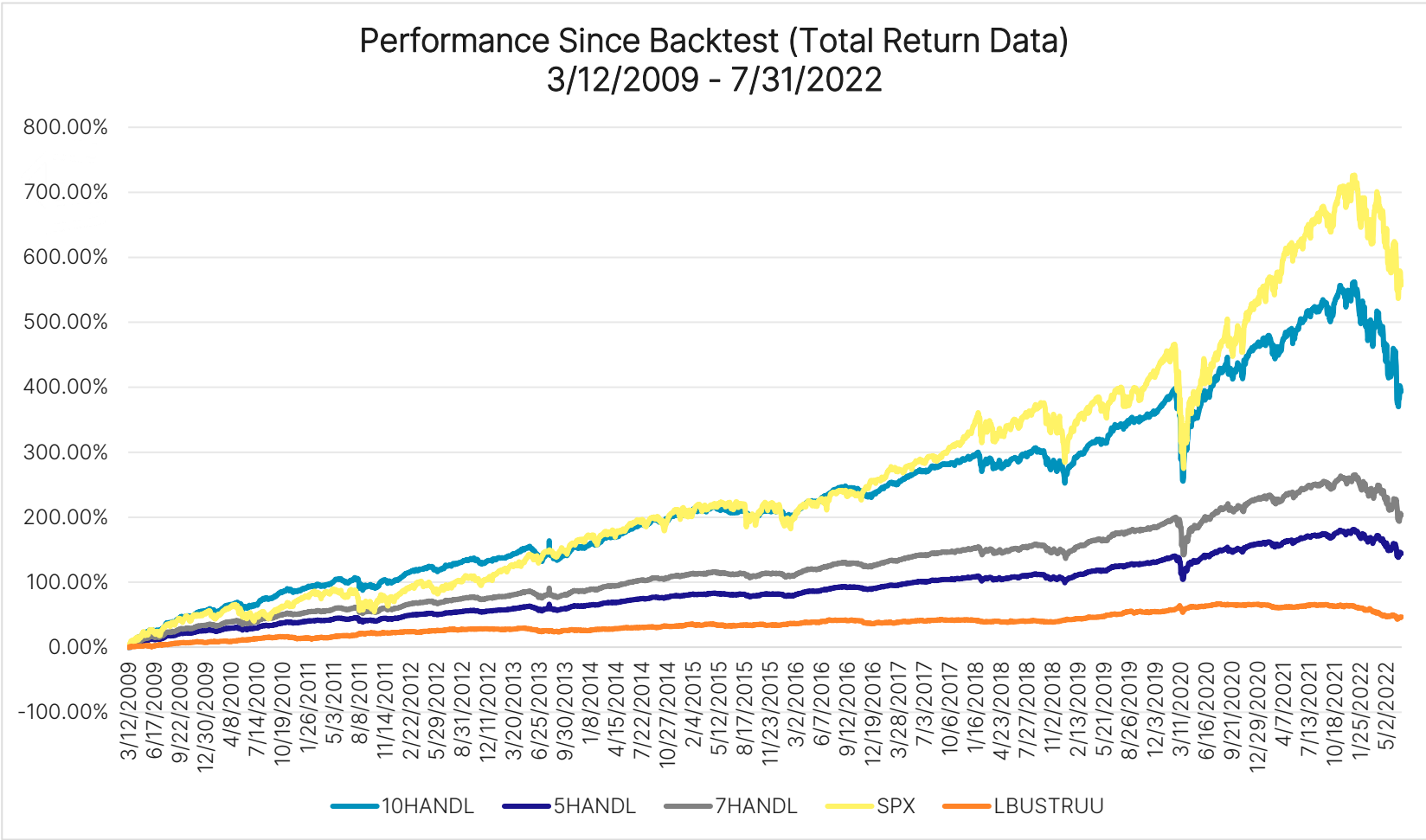
The Explore Portfolio is weighted by Relative Strength. A high relative strength ranking for a fund implies its superior relative strength, resulting in a relative overweight in the "Explore" portfolio. Conversely, ETFs with weaker RS rankings receive relatively lower weightings.

Weaker RS

*Nasdaq 5HANDL Index holdings as of EOD 7/29/2022



Nasdaq HANDL Indexes



5HANDL: 159.19%

7HANDL: 227.64%

10HANDL: 454.99%

S&P 500: 618.04%

Bloomberg US Agg. Bond Index: 50.32%

Disclaimer

Nasdaq® is a registered trademark of Nasdaq, Inc. The information contained above is provided for informational and educational purposes only, and nothing contained herein should be construed as investment advice, either on behalf of a particular security or an overall investment strategy. Neither Nasdaq, Inc. nor any of its affiliates makes any recommendation to buy or sell any security or any representation about the financial condition of any company. Statements regarding Nasdaq-listed companies or Nasdaq proprietary indexes are not guarantees of future performance. Actual results may differ materially from those expressed or implied. Past performance is not indicative of future results. Investors should undertake their own due diligence and carefully evaluate companies before investing. **ADVICE FROM A SECURITIES PROFESSIONAL IS STRONGLY ADVISED.**

© 2022. Nasdaq, Inc. All Rights Reserved.

