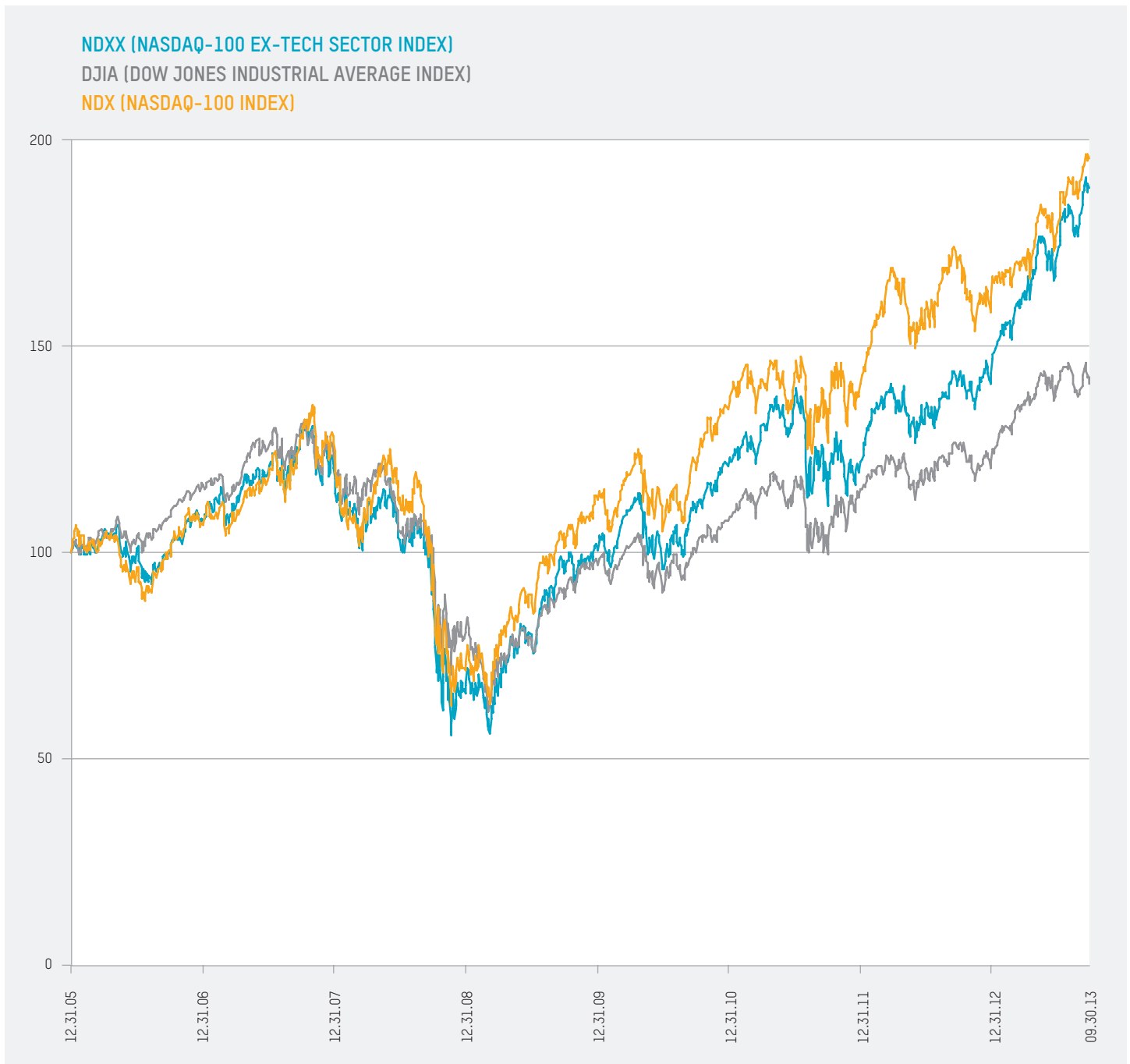


NASDAQ-100 EX-TECH SECTOR INDEX (NDXX)

COMPARATIVE HISTORY



QUARTERLY RETURN

DATE	NDXX	DJIA	NDX
3.31.06	5.16%	3.66%	3.55%
6.30.06	-3.61%	0.37%	-7.54%
9.30.06	-1.17%	4.74%	5.01%
12.31.06	7.55%	6.71%	6.21%
3.31.07	2.77%	-0.87%	0.88%
6.30.07	7.43%	8.53%	9.13%
9.30.07	6.74%	3.63%	8.12%
12.31.07	-5.03%	-4.54%	-0.30%
3.31.08	-11.46%	-7.55%	-14.53%
6.30.08	-3.45%	-7.44%	3.10%
9.30.08	-8.21%	-4.40%	-13.20%
12.31.08	-27.35%	-19.12%	-24.02%
3.31.09	-3.62%	-13.30%	2.09%
6.30.09	21.43%	11.01%	19.42%
9.30.09	21.83%	14.98%	16.36%
12.31.09	2.90%	7.37%	8.22%
3.31.10	8.84%	4.11%	5.27%
6.30.10	-12.35%	-9.97%	-11.19%
9.30.10	15.08%	10.37%	14.89%
12.31.10	9.51%	7.32%	11.00%
3.31.11	6.87%	6.41%	5.46%
6.30.11	4.79%	0.77%	-0.60%
9.30.11	-15.07%	-12.09%	-8.00%
12.31.11	3.85%	11.95%	6.48%
3.31.12	15.89%	8.14%	20.96%
6.30.12	-2.87%	-2.51%	-5.06%
9.30.12	4.71%	4.32%	7.01%
12.31.12	1.67%	-2.48%	-4.94%
3.31.13	13.42%	11.25%	5.93%
6.30.13	4.78%	2.27%	3.23%
9.30.13	10.58%	1.48%	10.61%

RETURN

5-YEAR	NDXX	DJIA	NDX
RETURN	99.31%	39.44%	101.81%
ANNUALIZED	14.78%	6.87%	15.07%
3-YEAR			
RETURN	70.32%	40.24%	61.07%
ANNUALIZED	19.40%	11.92%	17.20%
PEAK TO TROUGH*			
RETURN	-57.26%	-53.78%	-51.92%
ANNUALIZED	-45.13%	-42.01%	-40.37%
3.9.2009 TO 09.30.2013			
RETURN	235.90%	131.09%	208.30%
ANNUALIZED	30.40%	20.14%	27.98%
10.9.2007 TO 09.30.2013			
RETURN	43.55%	6.81%	48.22%
ANNUALIZED	6.23%	1.11%	6.80%
YEAR			
2006	7.73%	16.29%	6.79%
2007	11.90%	6.43%	18.67%
2008	-42.99%	-33.84%	-41.89%
2009	46.71%	18.82%	53.54%
2010	20.22%	11.02%	19.22%
2011	-1.23%	5.53%	2.70%
2012	19.83%	7.26%	16.82%
2013	31.41%	15.46%	20.94%

SHARPE RATIO

5-YEAR	NDXX	DJIA	NDX
	0.56	0.30	0.60
3-YEAR			
	1.07	0.78	0.95
PEAK TO TROUGH*			
	-1.16	-1.25	-1.06
3.9.2009 TO 09.30.2013			
	1.48	1.19	1.42
10.9.2007 TO 09.30.2013			
	0.22	0.03	0.25
YEAR			
2006	0.19	1.15	0.12
2007	0.43	0.13	0.76
2008	-1.03	-0.93	-1.02
2009	1.53	0.77	2.01
2010	1.03	0.67	0.98
2011	-0.05	0.26	0.11
2012	1.32	0.61	1.08
2013	2.40	1.51	1.67

QUARTERLY VOLATILITY

DATE	NDXX	DJIA	NDX
3.31.06	11.65%	9.36%	15.23%
6.30.06	17.84%	12.35%	17.66%
9.30.06	16.42%	10.32%	16.80%
12.31.06	12.52%	6.81%	13.09%
3.31.07	14.96%	10.99%	16.19%
6.30.07	12.59%	9.61%	11.93%
9.30.07	19.44%	17.66%	19.81%
12.31.07	20.91%	17.88%	24.57%
3.31.08	27.88%	22.61%	28.42%
6.30.08	23.61%	17.32%	24.52%
9.30.08	35.18%	32.34%	38.05%
12.31.08	69.73%	62.44%	65.69%
3.31.09	45.06%	37.28%	41.07%
6.30.09	31.94%	23.07%	24.56%
9.30.09	20.41%	15.59%	17.71%
12.31.09	18.10%	14.86%	16.76%
3.31.10	14.24%	13.11%	16.24%
6.30.10	27.39%	22.11%	26.78%
9.30.10	19.98%	16.04%	18.51%
12.31.10	12.34%	11.11%	13.72%
3.31.11	14.21%	11.87%	16.22%
6.30.11	13.82%	11.90%	14.87%
9.30.11	34.66%	29.90%	33.10%
12.31.11	26.97%	24.10%	26.31%
3.31.12	11.39%	8.78%	10.57%
6.30.12	19.54%	14.73%	19.91%
9.30.12	14.02%	10.73%	13.88%
12.31.12	13.40%	12.17%	15.57%
3.31.13	11.49%	9.19%	12.47%
6.30.13	16.10%	12.40%	14.62%
9.30.13	11.05%	8.54%	10.32%

VOLATILITY

5-YEAR	NDXX	DJIA	NDX
	26.36%	22.30%	24.90%
3-YEAR			
	17.99%	15.16%	17.99%
PEAK TO TROUGH*			
	40.19%	34.86%	39.43%
3.9.2009 TO 09.30.2013			
	20.49%	16.84%	19.57%
10.9.2007 TO 09.30.2013			
	26.57%	22.50%	25.74%
YEAR			
2006	14.79%	9.87%	15.76%
2007	17.27%	14.53%	18.67%
2008	43.06%	37.87%	42.30%
2009	30.50%	24.23%	26.52%
2010	19.47%	16.16%	19.48%
2011	24.13%	21.02%	23.80%
2012	14.91%	11.80%	15.50%
2013	13.07%	10.21%	12.55%

CORRELATION TO NASDAQ U.S. BENCHMARK

5-YEAR	NDXX	DJIA	NDX
	0.962	0.980	0.949
3-YEAR			
	0.950	0.970	0.933
PEAK TO TROUGH*			
	0.963	0.984	0.942
3.9.2009 TO 09.30.2013			
	0.953	0.975	0.936
10.9.2007 TO 09.30.2013			
	0.959	0.980	0.940
YEAR			
2006	0.921	0.939	0.909
2007	0.926	0.976	0.897
2008	0.966	0.984	0.946
2009	0.951	0.981	0.941
2010	0.965	0.979	0.951
2011	0.970	0.979	0.951
2012	0.922	0.957	0.921
2013	0.929	0.948	0.896

ASSOCIATED ETFS

+ First Trust NASDAQ-100 Ex-Tech Sector Index Fund (NASDAQ: **QOXT**)

NOTES

All data through 09.30.2013.

All calculations were based on price return indexes. Data from 12.31.2005 - 09.30.2013.

3- and 5-year statistics use data ending 09.30.2013.

Used internal back-tested data for NDXX from 12.31.2005 - 2.21.2006.

All data from FactSet Research Systems unless otherwise noted.

* Peak to Trough based on S&P 500 Price Returns. Time window for that calculation was 10.9.2007 to 3.9.2009.

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